



THE POWER *of* INDEPENDENCE

Benefit Plan Monitor™ Report Card

ABC COMPANY 401(K) PLAN

Quarterly Program Review
April 1, 2015, through June 30, 2015

EXAMPLE ONLY
All data is hypothetical

DASHBOARD

The dashboard below provides an overview of plan performance for the current quarter, April 1, 2015, through June 30, 2015. Additional information for each measure is provided in the following pages, along with supporting materials.

Measure	Current (Q2)	2015 Q1	2014 Q4	2014 Q3	Notes
Plan Participation Performance Results:					
Participation Rates (with accounts)	Achieved	Achieved	Achieved	Achieved	
Participation Rates (contributing)	Achieved	Achieved	Achieved	Achieved	
Salary Deferral Rate	Achieved	Achieved	Achieved	Achieved	
Advisory Services Performance Results:					
Participant Advisory Services	Achieved	Achieved	Achieved	Achieved	
Administrator Advisory Services	Achieved	Achieved	Achieved	Achieved	
Administrative Performance Results:					
Plan Website Availability	Achieved	Achieved	Achieved	Achieved	
Payroll Processing Time	Achieved	Achieved	Achieved	Achieved	
Loan and Distribution Processing Time		Achieved	Achieved	Achieved	
Money Management Performance Results:					
Portfolio Management	Achieved	Achieved	Achieved	Achieved	
Investment Performance (Funds)	Minor	Minor	Minor	Minor	See the Mutual Fund Scorecard, which starts on page 21.
Investment Performance (Portfolios)	Achieved	Minor	Minor	Minor	The Investment Performance (Portfolios) measure (p. 10) has been adjusted.
Plan Surveys Results:					
Plan Website	Achieved	Achieved	Achieved	Achieved	
Website Support, Record Keeper / TPA	Achieved	Achieved	Achieved	Achieved	
Plan Investment Options	Achieved	Achieved	Achieved	Achieved	
Advisor Support (Excel Financial)	Achieved	Achieved	Achieved	Achieved	
Overall Plan Rating	Achieved	Achieved	Achieved	Achieved	

Performance Legend:

- Green/Achieved – The target was achieved or experienced minor variation due to acceptable factors.
- Yellow/Minor – A minor deviation from the target performance level occurred.
- Red/Major – A moderate or major deviation from the target performance level occurred.
- Plan Survey Results in Red Type – Reflect the results of surveys conducted in a previous quarter.

PERFORMANCE METRICS

I. Plan Participation Performance Results

Measure: Employee Participation Rate (With Accounts)	
Target Performance: Achieve a participation rate of 76.15% of current active employees as measured on the last day of each review period.	Achieved: 85.53%
Comments: As shown on the Plan Participation Detail Report starting on page 13, 65 of the 76 eligible employees had plan accounts in 2015 Q2.	
Corrective Action:	Owner:

Measure: Employee Participation (Contributing)	
Target Performance: Achieve a minimum participation rate of 67.74% of current active employees as measured on the last day of each review period.	Achieved: 72.37%
Comments: As shown on the Plan Participation Detail Report, 55 of the 76 eligible employees made salary deferrals during the quarter.	
Corrective Action:	Owner:

Measure: Salary Deferral Rate	
Target Performance: Maintain a salary deferral rate that is equal to or greater than the prior quarter value for each participant 98% of the time. Performance is measured based upon the salary deferral election in place at the end of the current quarter as compared to the deferral election in place at the prior quarter end, per the Deferral Rate Changes Report.	Achieved: 98.18%
Comments: Six participants increased their deferral rates during the covered quarter. One participant decreased his deferral rate.	
Corrective Action:	Owner: